

FEE TRANSMITTAL FORMIN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONS12/05/97  
JCS60 U.S. PTOJC5310 U.S. PTO  
08/985576  
12/05/97

Applicant : Larry Alan Westerman  
 Serial No :  
 Filed : Filed concurrently herewith  
 Title : DOCUMENT CLASSIFICATION SYSTEM

Group Art Unit:

Examiner:

## CLAIMS AS FILED

For	No. Filed	No. Extra
BASIC FEE	xxxxxxxxxxxxxxxxxxxxxxxxxxxx	
TOTAL CLAIMS	18 - 20	-0-
INDEP CLAIMS	2 - 3	-0-
MULTIPLE DEPENDENT CLAIM PRESENT		

## SMALL ENTITY

Rate	Fee
xxxxxxxx	\$ 395
x \$11	\$
x \$41	\$
x\$135	\$
TOTAL	\$

OTHER THAN A  
SMALL ENTITY

Rate	Fee
xxxxxxxx	\$ 790
x \$22	\$
x \$82	\$
x\$270	\$
TOTAL	\$ 790

Please charge my Deposit Account No. 03-1550 in the amount of \$\_\_\_\_\_.

A duplicate copy of this sheet is enclosed.

A check in the amount of \$ 790.00 to cover the filing fee is enclosed.

A check in the amount of \$ 40.00 to cover the assignment recordal fee.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-1550. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 03-1550. A duplicate copy of this sheet is enclosed.

Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Any patent application processing fees under 37 CFR 1.17.

December 5, 1997

Date

  
Kevin L. Russell

CHERNOFF, VILHAUER, McCLEUNG & STENZEL, LLP  
600 Benj. Franklin Plaza  
One Southwest Columbia  
Portland, Oregon 97258

FEES TRANSMITTAL FORMIN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION EXAMINING OPERATIONSJC530 U.S. PRO  
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 600 Benj. Franklin Plaza  
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 Portland, Oregon 97258

12/05/97  
JC560 U.S. PRO

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 7146.007 Total Pages 1

First Named Inventor or Application Identifier

Westerman, Larry

Express Mail Label No. EM571164010US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Specification [Total Pages 17]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 USC 113) [Total Sheets 2]

4. Oath or Declaration [Total Pages 1]

- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
(Note Box 5 below)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Copy
- b.  Paper Copy (identical to computer copy)
- c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Small Entity  Statement filed in prior application,  
Statement(s)  Status still proper and desired

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Other: .....

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label

or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	Kevin L. Russell				
	Chernoff, Vilhauer, McClung & Stenzel, LLP				
ADDRESS	One SW Columbia, Suite 600				
	CITY		Portland	STATE	OR
COUNTRY	United States	TELEPHONE	503-227-5631		FAX 503-228-4373

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE UNDER 37 CFR § 3.73 (b)

Applicant : Larry Alan Westerman

Application No: \_\_\_\_\_ Filed: Concurrently herewith

Title : DOCUMENT CLASSIFICATION SYSTEM  
Sharp Laboratories of America, Inc.  
(Assignee)

a Washington corporation  
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A.  An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date : December 5, 1997

Name : Kevin L. Russell

Title : Attorney for the Assignee (a copy of the Power of Attorney is attached)

Signature: Kevin L. Russell

Chernoff, Vilhauer, McClung & Stenzel, LLP  
600 Benj. Franklin Plaza  
One Southwest Columbia  
Portland, Oregon 97258  
Tel: (503) 227-5631

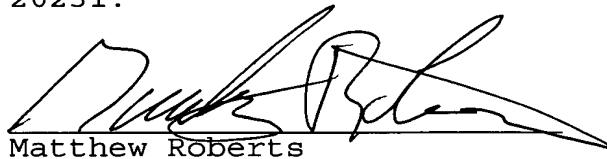
CERTIFICATE OF MAILING

BY "EXPRESS MAIL"

Express Mail No. EM571164010US

Date of Deposit: December 5, 1997

I hereby certify that the patent application attached hereto entitled DOCUMENT CLASSIFICATION SYSTEM, Larry Alan Westerman, inventor, together with the attached fees, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" on the date indicated above and is addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.



Matthew Roberts

08985526-120592